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5 / Reg for
refund
05-15-02

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*PATENT ENGINEER (NON-ATTORNEY)

February 11, 2002

VIA FACSIMILE

To: Mai
Deposit Accounts
U.S.P.T.O.

Facsimile No.: (703) 308-6778

From: Sean M. McGinn

Facsimile No.: (703) 761-2375

Re: November 30, 2001 Deposit Account Statement
U.S. Patent Application Serial No. 09/928,663
Our Ref: WAK.095

Dear Mai:

A charge totaling the amount of \$30.00 was deducted from Deposit Account 50-0481 on November 7, 2001. However, a new application was filed on August 14, 2001 and the PTO charged our Deposit Account \$740.00 instead of \$710.00. Rates increased on October 1, 2001. Therefore, it appears that the \$30.00 was charged in error and should be refunded.

For your convenience, enclosed is a copy of the November 30, 2001, Monthly Statement of Deposit Account, the new application was filed on August 14, 2001. A copy of the date-stamped postcard is enclosed.

Thank you in advance for your consideration on this case.

Very truly yours,



Sean M. McGinn

SMM:yr
Enclosure

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Kenji Sato

Serial No.: 09/928,663

Group Art Unit: 2881

Filing Date: August 14, 2001

Examiner: Unknown

For: SEMICONDUCTOR LASER AND SYSTEM FOR AND METHOD OF
PERFORMING DIGITAL OPTICAL COMMUNICATIONS USING SUCH
SEMICONDUCTOR LASER

Assistant Commissioner of Patents
Washington, D.C. 20231

ATTENTION: FINANCE DIVISION

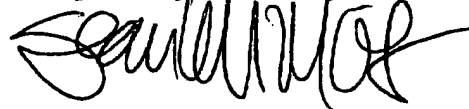
REQUEST FOR REFUND

Sir:

The undersigned respectfully requests a refund in the amount of \$30.00 for the above-identified patent application.

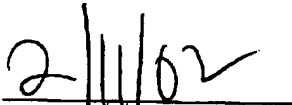
The above-referenced new application was filed on August 14, 2001 and the PTO charged our Deposit Account \$740.00 instead of \$710.00. Rates increased on October 1, 2001, therefore, the \$30.00 fee charged on the Monthly Statement of Deposit Account dated November 7, 2001 was charged in error. Therefore, Application respectfully requests that the \$30.00 fee be refunded. Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account number 50-0481.

Respectfully submitted,



Sean M. McGinn, Esq.
Registration No. 34,386

Date:



McGinn & Gibb, PLLC
Intellectual Property Law
8321 Old Courthouse Road, Suite 200
Vienna, Virginia 22182-3817
(703) 761-4100
Customer No. 21254



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

**MONTHLY STATEMENT
OF DEPOSIT ACCOUNT**

To replenish your Deposit Account, detach and
return top portion with your check. Make check
payable to Commissioner of Patents & Trademarks.

Account No.	500481
Date	11-30-01
Page	1

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ARLINGTON VA 22209

FINA

PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
O.	DAY	YR.						
1	1	01	35	09628555	DP-660US	103	4.00	5014.00
1	2	01	160	09792056		102	12.00	5002.00
1	5	01	88	09667703	NEC00P252-HS	581	40.00	4962.00
1	6	01	1	09875201	99USFP431-MK	102	84.00	4878.00
1	7	01	55	09963666	KOKUSAI079	105	30.00	4848.00
1	7	01	67	WAK-095-09928663		105	30.00	4818.00
1	9	01	1	09063293	PNDA-97285	115	110.00	4708.00
1	9	01	104	09920334	YAM.043	101	30.00	4678.00
1	15	01	29	09922950	AMA.040	101	30.00	4648.00
1	20	01	2	09377736	233170/98	117	920.00	3728.00
1	21	01	1	09246731	P13360-A	122	130.00	3598.00
			OPENING BALANCE		TOTAL CHARGES		TOTAL CREDITS	CLOSING BALANCE
			5018.00		1420.00		0.00	3598.00

I AMOUNT SUFFICIENT TO
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MUST ALWAYS BE ON DEPOSIT.

*** O.D. INDICATES OVERDRAWN

02/11/02 MON 11:02 [TX/RX NO 8635] 003

WAK095
SMY/9eb

New Application Post Card Filing Receipt and
Request for Early Notification of Serial Number

Attorney's Docket Number: W401P1657 ☒ Patent ☐ Trademark
Applicant's Name: Kenji Sato Application Filing Date: 8/14/01
Title: Semiconductor laser and system for same

Papers Filed Herewith:

28 Pages Specification, Claims and Abstract 13 Total Claims 2 Independent Claims

12 Sheets ☒ (593 1-12) ☐ Informal Drawings ☒ Priority Document(s)
☒ Formal Drawings ☒ Declaration/Power of Attorney
☒ Patent Application Transmittal Sheet ☐ Assignment ☐ Recordation Cover
☒ IDS ☒ 1449 Form w/ 2 Documents ☐ Other _____

Fees Filed Herewith: \$ _____ ☐ Check ☐ Charge Deposit Account: _____

